## **TRANSMITTAL**

## Electronic Version v1.1

Stylesheet Version v1.1.0

| Title of<br>Invention | Financial Planning Method and System |  |
|-----------------------|--------------------------------------|--|
| Application Number :  |                                      |  |
| Date :                |                                      |  |
| First Named Applica   | nt: Mr. Phillip A. Schwegmann        |  |
| Confirmation Number:  |                                      |  |
| Attorney Docket Nu    | mber: 031001                         |  |

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

| Submitted By:             | Elec. Sign.      | Sign. Capacity |
|---------------------------|------------------|----------------|
| Mr. David M. Lafkas       | /david m lafkas/ | Attorney       |
| Registered Number: 50,424 |                  |                |

| Documents being submitted: | Files                    |  |
|----------------------------|--------------------------|--|
|                            |                          |  |
| us-request                 | 031001dml-usrequ.xml     |  |
|                            | us-request.dtd           |  |
|                            | us-request.xsl           |  |
| us-fee-sheet               | 031001dml-usfees.xml     |  |
|                            | us-fee-sheet.xsl         |  |
|                            | us-fee-sheet.dtd         |  |
| us-ids                     | 031001dml-usidst.xml     |  |
|                            | us-ids.dtd               |  |
|                            | us-ids.xsl               |  |
| us-declaration             | 031001Declarationdml.tif |  |
| application-body           | DLafkas.xml              |  |
|                            | application-body.dtd     |  |
|                            | Image1.tif               |  |
|                            | isoamsa.ent              |  |
|                            | isoamsb.ent              |  |
|                            | isoamsc.ent              |  |
|                            | isoamsn.ent              |  |
|                            | isoamso.ent              |  |
|                            | isoamsr.ent              |  |
|                            | isobox.ent               |  |
|                            | isocyr1.ent              |  |
|                            | isocyr2.ent              |  |
|                            | isodia.ent               |  |
|                            | isogrk1.ent              |  |
|                            | isogrk2.ent              |  |
|                            | isogrk3.ent              |  |
|                            | isogrk4.ent              |  |
|                            | isolat1.ent              |  |
|                            | isolat2.ent              |  |
|                            | isomfrk.ent              |  |
|                            | isomopf.ent              |  |
|                            | isomscr.ent              |  |
|                            | isonum.ent               |  |
|                            | isopub.ent               |  |
|                            | isotech.ent              |  |
|                            | mathml2.dtd              |  |
|                            | mathml2-qname-1.mod      |  |
|                            | mmlalias.ent             |  |
|                            | mmlextra.ent             |  |
|                            | soextblx.dtd             |  |
|                            | us-application-body.xsl  |  |
|                            | wipo.ent                 |  |
| application-body-pdf-wrap  | DLafkas-pdf-wrap.xml     |  |
| abstract-pdf               | DLafkas-abst.pdf         |  |
| claims-pdf                 | DLafkas-clms.pdf         |  |
| description-pdf            | DLafkas-desc.pdf         |  |
| drawings-pdf               | DLafkas-draw.pdf         |  |
| us-declaration             | 031001POAdml.tif         |  |
| Comments                   |                          |  |
|                            |                          |  |